

WEALTHMANAGEMENT.COM
2018 INDUSTRY AWARDS
Initiative Nomination Categories/Subcategories

***New category for 2018**

401(k)–RETIREMENT PLAN SUPPORT SERVICES

- 401(k) Service
- 401(k) Technology

ASSET MANAGERS

- Alternatives
- Corporate Social Responsibility/Diversity
- Equities
- ETF Strategists
- ETFs
- Fixed Income
- **Model Marketplaces***
- Real Estate (including REITs)
- Separate Accounts
- Social Media Leadership
- Socially Responsible Investment (SRI)/Impact Investing
- Thought Leadership Advisor Education
- Thought Leadership Product Enhancement

BROKERS/DEALERS (1,000 Advisors or More)

- Corporate Social Responsibility/Diversity
- Practice Management
- Service
- Social Media Leadership
- Technology
- Thought Leadership
- Transition Support

BROKERS/DEALERS (Fewer than 1,000 Advisors)

- Corporate Social Responsibility/Diversity
- Practice Management
- Service
- Social Media Leadership
- Technology
- Thought Leadership
- Transition Support

COMPLIANCE/LAW FIRMS

CUSTODIANS (Emerging – Less than \$25B)

- Alternative Asset
- Corporate Social Responsibility/Diversity
- Practice Management
- Social Media Leadership
- Technology
- Thought Leadership
- Transition Support

CUSTODIANS (Established– \$25B and Over)

- Alternative Asset
- Corporate Social Responsibility/Diversity
- Practice Management
- Social Media Leadership
- Technology
- Thought Leadership
- Transition Support

DISTRUPTORS

- Industry
- Technology

FAMILY OFFICES

- Client Initiative
- Thought Leadership

HEDGE FUNDS/PRIVATE EQUITY

INDIVIDUAL RIA FIRM LEADERS*

- **CEO of the Year**
- **Innovator of the Year**
- **M&A Leader of the Year**
- **Thought Leader of the Year**

INDUSTRY ASSOCIATIONS

INDUSTRY RESEARCH PROVIDERS*

INSURANCE

- Service
- Technology

INVESTMENT BANKS/LENDERS/SUCCESSION PLANNING CONSULTANTS

NON-CUSTODIAL RIA SUPPORT PLATFORMS

SUCCESSION/OWNERSHIP TRANSITION SERVICES – NON-CUSTODIAN/BROKER/DEALER

TAMPs

- **Model Marketplaces***
- TAMPs

TECHNOLOGY PROVIDERS

- Account Aggregation
- **Alternative Investment Platforms***
- **Blockchain/Cryptocurrency***
- Compliance
- Client Portals
- CRM
- Corporate Social Responsibility/Diversity
- Digital Advisors (Robo)
- Document Management
- Financial Planning
- Innovation New Applications
- Innovation Platform
- **Model Marketplaces***
- Portfolio Management, Accounting and Performance Reporting
- Rebalancing
- Risk Tolerance/Client Profiling
- Social Media Leadership
- Thought Leadership
- Wealth Reporting/Personal Finance Managers

TRUSTS

***New category for 2018**